Vapnik-Chervonenkis Dimension and Density on Johnson and Hamming Graphs

https://arxiv.org/abs/2007.16042

Bjarki Geir Benediktsson

October 8, 2020

Set systems

Definition

A set system is a pair (X, S) consisting of a universe set X and a family S of subsets of X.

Set systems

Definition

A set system is a pair (X, S) consisting of a universe set X and a family S of subsets of X.

Definition

Let $\phi(\vec{x}; \vec{y})$ be a formula, we call \vec{x} the object variables and \vec{y} the parameter variables of $\phi(\vec{x}; \vec{y})$. A set system for a formula $\phi(\vec{x}; \vec{y})$ with m object variables and n parameter variables in a model M with universe set M is a set system (M^m, S_ϕ) where:

$$S_{\phi} = \{\{\vec{a} \in M^m : M \models \phi(\vec{a}; \vec{b})\} : \vec{b} \in M^n\}$$



Example

Let $\phi(x, y)$ be the formula in the language of graphs stating that there is an edge between x and y.

Then the set system for $\phi(x, y)$ on a graph G is the collection:

$$(V(G),(N(v))_{v\in V(G)})$$

Shatter function

Definition

Let (X, S) be a set system. The shatter function $\pi_{(X,S)} : \mathbb{N} \to \mathbb{N}$ for (X,S) is:

$$\pi_{(X,\mathcal{S})}(n) := \max\{|\{T \cap A : T \in \mathcal{S}\}| : A \subseteq X \land |A| = n\}$$

Shatter function

Definition

Let (X, S) be a set system. The shatter function $\pi_{(X,S)} : \mathbb{N} \to \mathbb{N}$ for (X,S) is:

$$\pi_{(X,\mathcal{S})}(n) := \max\{|\{T \cap A : T \in \mathcal{S}\}| : A \subseteq X \land |A| = n\}$$

The shatter function $\pi_{\mathcal{C}}: \mathbb{N} \to \mathbb{N}$ for a class \mathcal{C} of set systems:

$$\pi_{\mathcal{C}}(n) := \max\{\pi_{(X,\mathcal{S})}(n) : (X,\mathcal{S}) \in \mathcal{C})\}$$

VC-Characteristics

Definition

The *VC-Dimension* of a (class of) set system is the largest n (if one exists) such that $\pi(n) = 2^n$.

VC-Characteristics

Definition

The *VC-Dimension* of a (class of) set system is the largest n (if one exists) such that $\pi(n) = 2^n$.

Definition

The *VC-Density* of a (class of) set system is the infimum r (if one exists) such that $\pi(n) \in \mathcal{O}(n^r)$

Lemma (Sauer-Shelah)

If (X, S) has finite VC-dimension d then $\pi_S(n) \leq \sum_{i=0}^d \binom{n}{i}$.

Lemma (Sauer-Shelah)

If (X, S) has finite VC-dimension d then $\pi_S(n) \leq \sum_{i=0}^d \binom{n}{i}$.

Corollary

VC-Density $\leq VC$ -Dimension.

VC-dimension and VC-density arise as important factors in:

VC-dimension and VC-density arise as important factors in: Statistical learning theory as a metric on Probably Approximately Correct (PAC) learning.

VC-dimension and *VC*-density arise as important factors in:

Statistical learning theory as a metric on Probably Approximately Correct (PAC) learning.

A formula has finite VC-dimension (and VC-density) if and only if it does not have the independence property. Dependent (NIP) theories are those where no formula has the independence property.

VC-dimension and VC-density arise as important factors in:

Statistical learning theory as a metric on Probably Approximately Correct (PAC) learning.

A formula has finite VC-dimension (and VC-density) if and only if it does not have the independence property. Dependent (NIP) theories are those where no formula has the independence property.

Extremal combinatorics.

Definition

Let n and k be natural numbers $n \ge k$. The Johnson graph J(n,k) is a graph whose vertices correspond to the k-element subsets of a set of size n and two vertices are adjacent if their corresponding sets intersect in all but one element, i.e. their symmetric difference has size 2.

Definition

Let n and k be natural numbers $n \ge k$. The Johnson graph J(n,k) is a graph whose vertices correspond to the k-element subsets of a set of size n and two vertices are adjacent if their corresponding sets intersect in all but one element, i.e. their symmetric difference has size 2.

Example

J(4,2) is the octahedral graph.

Definition

Let n and k be natural numbers $n \ge k$. The Johnson graph J(n,k) is a graph whose vertices correspond to the k-element subsets of a set of size n and two vertices are adjacent if their corresponding sets intersect in all but one element, i.e. their symmetric difference has size 2.

Example

- J(4,2) is the octahedral graph.
- J(5,2) is the complement of the Petersen graph.

Definition

Let n and k be natural numbers $n \ge k$. The Johnson graph J(n,k) is a graph whose vertices correspond to the k-element subsets of a set of size n and two vertices are adjacent if their corresponding sets intersect in all but one element, i.e. their symmetric difference has size 2.

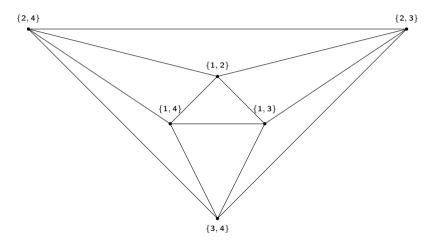
Example

J(4,2) is the octahedral graph.

J(5,2) is the complement of the Petersen graph.

 $J(n,1)=K_n.$

The Johnson graph J(4,2).



Definition

Let d and q be natural numbers. The Hamming graph H(d,q) is a graph whose vertices correspond to the d-tuples of a set of size q and two vertices are adjacent if their corresponding tuples agree in all but one coordinate.

Definition

Let d and q be natural numbers. The Hamming graph H(d,q) is a graph whose vertices correspond to the d-tuples of a set of size q and two vertices are adjacent if their corresponding tuples agree in all but one coordinate.

Example

H(d,2) is the d dimensional hypercube graph.

Definition

Let d and q be natural numbers. The Hamming graph H(d,q) is a graph whose vertices correspond to the d-tuples of a set of size q and two vertices are adjacent if their corresponding tuples agree in all but one coordinate.

Example

H(d,2) is the d dimensional hypercube graph.

H(2,q) is the $q \times q$ rook's graph

Definition

Let d and q be natural numbers. The Hamming graph H(d,q) is a graph whose vertices correspond to the d-tuples of a set of size q and two vertices are adjacent if their corresponding tuples agree in all but one coordinate.

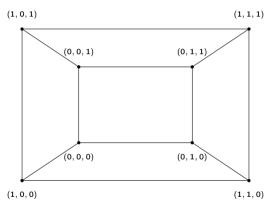
Example

H(d,2) is the d dimensional hypercube graph.

H(2,q) is the $q \times q$ rook's graph

$$H(1,q)=K_q$$

The Hamming graph H(3,2).



Johnson graphs and Hamming graphs have many interesting applications.

Johnson graphs and Hamming graphs have many interesting applications. Graph isomorphism in quasy-polynomial time.

Johnson graphs and Hamming graphs have many interesting applications. Graph isomorphism in quasy-polynomial time. Coding theory, error correcting codes

Johnson graphs and Hamming graphs have many interesting applications.

Graph isomorphism in quasy-polynomial time.

Coding theory, error correcting codes

They are higly symmetric and nice to work with.

Johnson graphs and Hamming graphs have many interesting applications.

Graph isomorphism in quasy-polynomial time.

Coding theory, error correcting codes

They are highly symmetric and nice to work with. E.g. Aut(J(n,k)) is at least S_n

Johnson graphs and Hamming graphs have many interesting applications.

Graph isomorphism in quasy-polynomial time.

Coding theory, error correcting codes

They are highly symmetric and nice to work with. E.g. Aut(J(n,k)) is at least S_n A result by Adler and Adler stating that nowhere dense classes of graphs have stable (and therefore dependent) theories.

Johnson graphs and Hamming graphs have many interesting applications.

Graph isomorphism in quasy-polynomial time.

Coding theory, error correcting codes

They are higly symmetric and nice to work with. E.g. Aut(J(n, k)) is at least S_n A result by Adler and Adler stating that nowhere dense classes of graphs have stable (and therefore dependent) theories.

By fixing one parameter Johnson graphs and Hamming graphs give classes with a dependent limit theory.

Johnson graphs and Hamming graphs have many interesting applications.

Graph isomorphism in quasy-polynomial time.

Coding theory, error correcting codes

They are highly symmetric and nice to work with. E.g. Aut(J(n, k)) is at least S_n A result by Adler and Adler stating that nowhere dense classes of graphs have stable (and therefore dependent) theories.

By fixing one parameter Johnson graphs and Hamming graphs give classes with a dependent limit theory.

Yet Johnson and Hamming graphs graphs are clearly somewhere dense.

Johnson graphs and Hamming graphs have many interesting applications.

Graph isomorphism in quasy-polynomial time.

Coding theory, error correcting codes

They are highly symmetric and nice to work with. E.g. Aut(J(n, k)) is at least S_n A result by Adler and Adler stating that nowhere dense classes of graphs have stable (and therefore dependent) theories.

By fixing one parameter Johnson graphs and Hamming graphs give classes with a dependent limit theory.

Yet Johnson and Hamming graphs graphs are clearly somewhere dense.

Furthermore they have unbounded local clique-width.

Main results

Theorem

The edge relation has:

VC-dimension 4 on the class of all Johnson graphs.

VC-dimension 3 on the class of all Hamming graphs.

VC-density 2 on the class of all Johnson graphs.

VC-density 2 on the class of all Hamming graphs.

Main results

Theorem

The VC-density of the edge relation on the class of all Johnson graphs is 2.

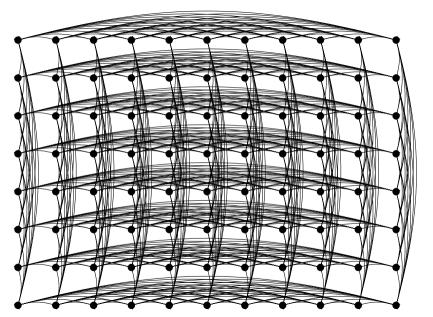
Main results

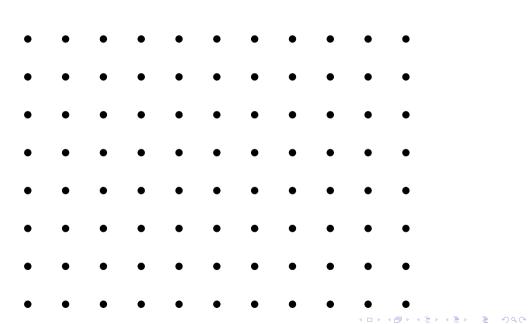
Theorem

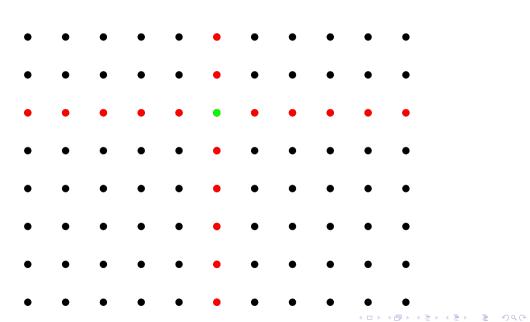
The VC-density of the edge relation on the class of all Johnson graphs is 2.

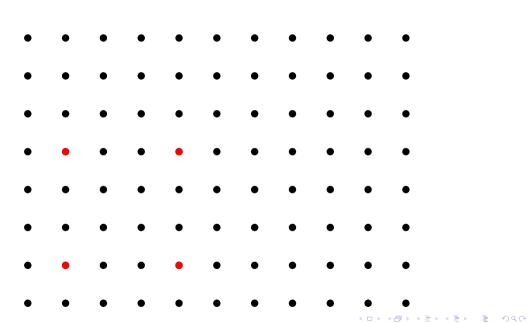
Observation.

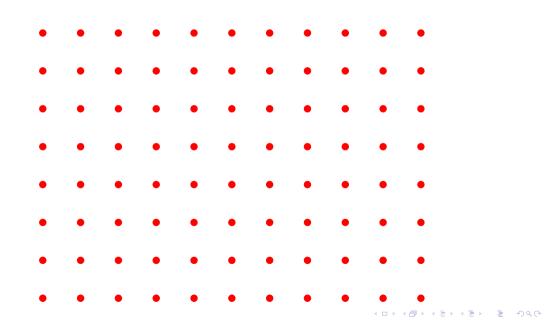
In the Johnson graph J(m,k) we have $N(v)=\{(v\setminus\{a\})\cup\{x\}|a\in v\land x\not\in v\}$. This induces the $k\times(m-k)$ rook's graph.











We need to show $\pi(n) \in \mathcal{O}(n^2)$

We need to show $\pi(n) \in \mathcal{O}(n^2)$ Assume m and k are arbitrarily large.

We need to show $\pi(n) \in \mathcal{O}(n^2)$ Assume m and k are arbitrarily large. Let A be a maximally shattered set of size n in J(m,k).

We need to show $\pi(n) \in \mathcal{O}(n^2)$ Assume m and k are arbitrarily large. Let A be a maximally shattered set of size n in J(m,k). Let

$$S(A) := \{N(u) \cap A; u \in V(G)\},$$

 $C_1(A) := \{N \in S(A) : N \text{ is a clique}\}.$
 $C_2(A) := \{N \in S(A) : N \text{ is not a clique}\}.$
 $\pi(n) = |S(A)| = |C_1(A)| + |C_2(A)|.$

$$C_1(A) := \{ N \in S(A) : N \text{ is a clique} \}.$$

There are at most $\mathcal{O}(n^2)$ cliques in $C_1(A)$ with 2 or fewer elements.

$$C_1(A) := \{ N \in S(A) : N \text{ is a clique} \}.$$

There are at most $\mathcal{O}(n^2)$ cliques in $C_1(A)$ with 2 or fewer elements.

There are at most $\mathcal{O}(n)$ cliques Q in $C_1(A)$ such that $Q = N(v) \cap A$ where $v \in A$.

$$C_1(A) := \{ N \in S(A) : N \text{ is a clique} \}.$$

There are at most $\mathcal{O}(n^2)$ cliques in $C_1(A)$ with 2 or fewer elements.

There are at most $\mathcal{O}(n)$ cliques Q in $C_1(A)$ such that $Q = N(v) \cap A$ where $v \in A$.

That just leaves those cliques that are of the form $A \cap Q$ where Q is a maximal clique of J(m, k).

$$C_1(A) := \{ N \in S(A) : N \text{ is a clique} \}.$$

There are at most $\mathcal{O}(n^2)$ cliques in $C_1(A)$ with 2 or fewer elements.

There are at most $\mathcal{O}(n)$ cliques Q in $C_1(A)$ such that $Q = N(v) \cap A$ where $v \in A$. That just leaves those cliques that are of the form $A \cap Q$ where Q is a maximal clique

of J(m, k).

For every vertex $u \in A$ we have that A intersects at most |A| rows and at most |A| columns of the rook's graph induced by N(u).

So u can be a member of at most 2|A| maximal cliques of J(m,k) that intersect A in more than two vertices.

So the number of maximal cliques of J(m, k) that intersect A in more than two vertices is at most $2|A|^2$

$$C_1(A) := \{ N \in S(A) : N \text{ is a clique} \}.$$

There are at most $\mathcal{O}(n^2)$ cliques in $C_1(A)$ with 2 or fewer elements.

There are at most $\mathcal{O}(n)$ cliques Q in $C_1(A)$ such that $Q = N(v) \cap A$ where $v \in A$. That just leaves those cliques that are of the form $A \cap Q$ where Q is a maximal clique

That just leaves those cliques that are of the form $A \cap Q$ where Q is a maximal clique of J(m,k).

For every vertex $u \in A$ we have that A intersects at most |A| rows and at most |A| columns of the rook's graph induced by N(u).

So u can be a member of at most 2|A| maximal cliques of J(m,k) that intersect A in more than two vertices.

So the number of maximal cliques of J(m, k) that intersect A in more than two vertices is at most $2|A|^2 \in \mathcal{O}(n^2)$.

$$C_2(A) := \{N \in S(A) : N \text{ is not a clique}\}$$

Take a pair of vertices $u, w \in A$ such that d(u, w) = 2.

$$C_2(A) := \{ N \in S(A) : N \text{ is not a clique} \}$$

Take a pair of vertices $u, w \in A$ such that d(u, w) = 2. Then we can write $u = (w \setminus \{a, b\}) \cup \{x, y\}$.

$$C_2(A) := \{ N \in S(A) : N \text{ is not a clique} \}$$

Take a pair of vertices $u, w \in A$ such that d(u, w) = 2. Then we can write $u = (w \setminus \{a, b\}) \cup \{x, y\}$. Let v be such that $\{u, w\} \subseteq N(v)$.

$$C_2(A) := \{ N \in S(A) : N \text{ is not a clique} \}$$

Take a pair of vertices $u, w \in A$ such that d(u, w) = 2.

Then we can write $u = (w \setminus \{a, b\}) \cup \{x, y\}$.

Let v be such that $\{u, w\} \subseteq N(v)$.

Then v is one of

$$(w \setminus \{a\}) \cup \{x\}$$
$$(w \setminus \{a\}) \cup \{y\}$$
$$(w \setminus \{b\}) \cup \{x\}$$
$$(w \setminus \{b\}) \cup \{y\}$$

$$C_2(A) := \{N \in S(A) : N \text{ is not a clique}\}$$

Take a pair of vertices $u, w \in A$ such that d(u, w) = 2.

Then we can write $u = (w \setminus \{a, b\}) \cup \{x, y\}$.

Let v be such that $\{u, w\} \subseteq N(v)$.

Then v is one of

$$(w \setminus \{a\}) \cup \{x\}$$
$$(w \setminus \{a\}) \cup \{y\}$$
$$(w \setminus \{b\}) \cup \{x\}$$
$$(w \setminus \{b\}) \cup \{y\}$$

So
$$|C_2(A)| \le 4|A|^2$$

$$C_2(A) := \{N \in S(A) : N \text{ is not a clique}\}$$

Take a pair of vertices $u, w \in A$ such that d(u, w) = 2.

Then we can write $u = (w \setminus \{a, b\}) \cup \{x, y\}$.

Let v be such that $\{u, w\} \subseteq N(v)$.

Then v is one of

$$(w \setminus \{a\}) \cup \{x\}$$
$$(w \setminus \{a\}) \cup \{y\}$$
$$(w \setminus \{b\}) \cup \{x\}$$
$$(w \setminus \{b\}) \cup \{y\}$$

So
$$|C_2(A)| \le 4|A|^2 \in \mathcal{O}(n^2)$$
.

$$|C_1(A)| \in \mathcal{O}(n^2)$$

$$|C_1(A)| \in \mathcal{O}(n^2)$$

 $|C_2(A)| \in \mathcal{O}(n^2)$

$$|C_2(A)| \in \mathcal{O}(n^2)$$

$$|C_1(A)| \in \mathcal{O}(n^2)$$

 $|C_2(A)| \in \mathcal{O}(n^2)$
Therefore $\pi(n) = |S(A)| = |C_1(A)| + |C_2(A)| \in \mathcal{O}(n^2)$.

$$|C_1(A)| \in \mathcal{O}(n^2)$$

$$|C_2(A)| \in \mathcal{O}(n^2)$$

Therefore
$$\pi(n) = |S(A)| = |C_1(A)| + |C_2(A)| \in \mathcal{O}(n^2)$$
.

