

# Quantified Reflection Calculus with one modality

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# In this talk we...

- Discuss known shortcomings of quantified provability logic
- Introduce QRC<sub>1</sub> as a candidate solution
- Explore some famous proofs
- State obtained results about QRC<sub>1</sub>
- Sketch a couple of new proofs

# Provability Logics

- Interpret  $\Box$  as “is provable”
- Interpret  $\Diamond$  as “is consistent”

Examples:

- GL is  $K4 + \Box(\Box\varphi \rightarrow \varphi) \rightarrow \Box\varphi$  (Löb’s axiom)
- GLP is a polymodal version of GL, with  $[0], [1], \dots$  as modalities
  - Decidability is PSPACE-complete
- RC is the strictly positive fragment of GLP, with statements of the form  $\varphi \vdash \psi$ , where  $\varphi, \psi$  are in the language built from  $\top, p, \wedge, \langle 0 \rangle, \langle 1 \rangle, \dots$ 
  - E.g.  $\langle 1 \rangle p \vdash \langle 0 \rangle p$
  - Decidability is in PTIME

# Arithmetical realizations

It is possible to express Gödel's provability predicate in PA:

$$\text{Prov}_{\text{PA}}(\varphi) := \exists p \text{Proof}_{\text{PA}}(p, \varphi)$$

Let  $\mathcal{L}_{\Box}$  be the language of GL.

An arithmetical realization is any function  $(\cdot)^*$  taking:

- formulas in  $\mathcal{L}_{\Box} \rightarrow$  sentences in  $\mathcal{L}_{\text{PA}}$
- propositional variables  $\rightarrow$  arithmetical sentences
- boolean connectives  $\rightarrow$  boolean connectives
- $\Box \rightarrow \text{Prov}_{\text{PA}}$

# Solovay's Theorem

## Theorem (Solovay, 1976)

Let  $\varphi \in \mathcal{L}_\square$ . Then:

$$\text{GL} \vdash \varphi$$



$$\text{PA} \vdash (\varphi)^* \text{ for any arithmetical realization } (\cdot)^*$$

This can be written as:

$$\text{GL} = \{\varphi \in \mathcal{L}_\square \mid \text{for any } (\cdot)^*, \text{ we have } \text{PA} \vdash (\varphi)^*\}$$

# Solovay for quantified modal logic?

Let  $\mathcal{L}_{\Box, \forall}$  be the language of relational quantified modal logic:

$\top$ , relation symbols, boolean connectives,  $\forall x$ , and  $\Box$

Define arithmetical realizations  $(\cdot)^\bullet$  for  $\mathcal{L}_{\Box, \forall}$ :

formulas in  $\mathcal{L}_{\Box, \forall} \rightarrow$  formulas in  $\mathcal{L}_{PA}$

$n$ -ary relation symbols  $\rightarrow$  arithmetical formulas with  $n$  free variables

boolean connectives  $\rightarrow$  boolean connectives

$\forall x \rightarrow \forall x$

$\Box \rightarrow \text{Prov}_{PA}$

## Theorem (Vardanyan, 1986)

*{closed  $\varphi \in \mathcal{L}_{\Box, \forall} \mid$  for any  $(\cdot)^\bullet$ , we have  $PA \vdash (\varphi)^\bullet$ }*

*is  $\Pi_2^0$ -complete. Thus it is not recursively axiomatizable.*

# Artemov's Lemma

- Let  $F \in \mathcal{L}_{PA}$  be a formula
- Replace arithmetical symbols  $0, +1, +, \times, =$  with predicates  $Z, S, A, M, E$ , obtaining  $\{F\} \in \mathcal{L}_V$
- Go back to  $\mathcal{L}_{PA}$  with a realization  $(\cdot)^\bullet$

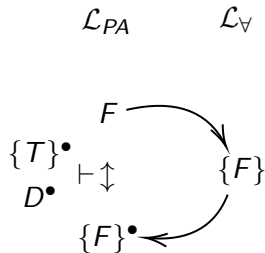
When are  $F$  and  $F^\bullet$  equivalent?

- Under  $\{T\}^\bullet$  to get arithmetical axioms...
- ... and under  $D^\bullet$  to get recursive  $A^\bullet$  and  $M^\bullet$
- By Tennenbaum's Theorem the model induced by  $(\cdot)^\bullet$  is standard

$$D := \diamond T \wedge$$

$$\forall x (Z(x) \rightarrow \Box Z(x)) \wedge \forall x (\neg Z(x) \rightarrow \Box \neg Z(x)) \wedge$$

$$\dots S \dots A \dots M \dots E$$



# Artemov's Theorem

## Theorem (Artemov, 1985)

$\mathcal{A} := \{ \text{closed } \varphi \in \mathcal{L}_{\Box, \forall} \mid \text{for any } (\cdot)^\bullet, \text{ we have } \mathbb{N} \models (\varphi)^\bullet \}$

*is not arithmetical.*

- By Tarski's Undefinability Theorem the class of true arithmetical sentences  $\mathcal{V}$  is not arithmetical
- We provide a bijection ! between  $\mathcal{V}$  and  $\mathcal{A}$
- For  $F \in \mathcal{L}_{\text{PA}}$ , let  $F! := \{T\} \wedge D \rightarrow \{F\}$
- We see that  $F$  is true iff  $F!$  is always true
- ( $\Rightarrow$ ) If  $F$  is true, pick any  $(\cdot)^\bullet$  and see that  $\{T\}^\bullet \wedge D^\bullet \rightarrow \{F\}^\bullet$  is true
- ( $\Leftarrow$ ) If  $F!$  is always true, pick  $(\cdot)^\bullet$  as the "normal" interpretation and see that  $\{F\}^\bullet$  - and hence  $F$  - are true



# Escape to Vardanyan's Theorem?

Restrict  $\mathcal{L}_{\Box, \forall}$  to the strictly positive fragment  $\mathcal{L}_{\Diamond, \forall}$ :

Terms ::= Variables | Constants

$\mathcal{L}_{\Diamond, \forall}$  ::=  $\top$  | relation symbols applied to Terms |  $\varphi \wedge \varphi$  |  $\forall x \varphi$  |  $\Diamond \varphi$

The arithmetical realizations  $(\cdot)^*$  for  $\mathcal{L}_{\Diamond, \forall}$  send:

formulas in  $\mathcal{L}_{\Diamond, \forall} \rightarrow$  axiomatizations of theories in  $\mathcal{L}_{PA}$

Define a calculus QRC<sub>1</sub> with statements  $\varphi \vdash \psi$  where:

$$\varphi, \psi \in \mathcal{L}_{\Diamond, \forall}$$

Prove arithmetical soundness and completeness for QRC<sub>1</sub>:

$$\text{QRC}_1 \stackrel{?}{=} \{ \varphi \vdash \psi \mid \text{for any } (\cdot)^*, \text{ we have } PA \vdash (\varphi \vdash \psi)^* \}$$

QRC<sub>1</sub>: Axioms and rules

$$\varphi \vdash \top$$

$$\varphi \wedge \psi \vdash \varphi$$

$$\varphi \vdash \varphi$$

$$\varphi \wedge \psi \vdash \psi$$

$$\frac{\varphi \vdash \psi \quad \psi \vdash \chi}{\varphi \vdash \chi}$$

$$\frac{\varphi \vdash \psi \quad \varphi \vdash \chi}{\varphi \vdash \psi \wedge \chi}$$

$$\diamond \diamond \varphi \vdash \diamond \varphi$$

$$\frac{\varphi \vdash \psi}{\diamond \varphi \vdash \diamond \psi}$$

$$\frac{\varphi \vdash \psi}{\varphi \vdash \forall x \psi}$$

$x \notin \text{fv } \varphi$

$$\frac{\varphi[x \leftarrow t] \vdash \psi}{\forall x \varphi \vdash \psi}$$

$t$  free for  $x$  in  $\varphi$

$$\frac{\varphi \vdash \psi}{\varphi[x \leftarrow t] \vdash \psi[x \leftarrow t]}$$

$t$  free for  $x$  in  $\varphi$  and  $\psi$

$$\frac{\varphi[x \leftarrow c] \vdash \psi[x \leftarrow c]}{\varphi \vdash \psi}$$

$c$  not in  $\varphi$  nor  $\psi$

# Arithmetical semantics

The arithmetical realizations  $(\cdot)^*$  for  $\mathcal{L}_{\diamond, \forall}$ :

formulas in  $\mathcal{L}_{\diamond, \forall} \rightarrow$  axiomatizations of theories in  $\mathcal{L}_{\text{PA}}$

constants  $c_i \rightarrow$  variables  $y_i$

variables  $x_i \rightarrow$  variables  $z_i$

$$(\top)^* := \top_{\Sigma_1}(u)$$

$$(S(c, x))^* := \sigma(y, z, u) \vee \top_{\Sigma_1}(u)$$

$$(\psi(c, x) \wedge \delta(c, x))^* := (\psi(c, x))^* \vee (\delta(c, x))^*$$

$$(\diamond \psi(c, x))^* := \top_{\Sigma_1}(u) \vee (u = \text{Con}_{(\psi(c, x))^*}(\top))$$

$$(\forall x \psi(c, x))^* := \exists z (\psi(c, x))^*$$

$$(\varphi(c, x) \vdash \psi(c, x))^* := \forall \theta, y, z (\Box_{\psi^*(y, z)} \theta \rightarrow \Box_{\varphi^*(y, z)} \theta)$$

# Arithmetical soundness

## Theorem (Arithmetical soundness)

$$\text{QRC}_1 \subseteq \{ \varphi \vdash \psi \mid \text{for any } (\cdot)^*, \text{ we have} \\ \text{I}\Sigma_1 \vdash \forall \theta, y, z (\Box_{\psi^*(y,z)} \theta \rightarrow \Box_{\varphi^*(y,z)} \theta) \}$$

By induction on the QRC<sub>1</sub>-proof. Here is the case of  $\Diamond\Diamond\varphi \vdash \Diamond\varphi$ :

- Pick any  $(\cdot)^*$ , reason in  $\text{I}\Sigma_1$ , and let  $\theta, y, z$  be arbitrary
- Assume  $\Box_{(\Diamond\varphi)^*} \theta$
- Then  $\Box_{\tau}(\text{Con}_{\varphi^*}(\top) \rightarrow \theta)$
- By provable  $\Sigma_1$ -completeness,  $\Box_{\tau}(\text{Con}_{\tau}(\text{Con}_{\varphi^*}(\top)) \rightarrow \text{Con}_{\varphi^*}(\top))$
- Then  $\Box_{\tau}(\text{Con}_{\tau}(\text{Con}_{\varphi^*}(\top)) \rightarrow \theta)$
- We conclude  $\Box_{(\Diamond\Diamond\varphi)^*} \theta$

# Arithmetical completeness

## Conjecture (Arithmetical completeness)

$\text{QRC}_1 \supseteq \{\varphi \vdash \psi \mid \text{for any } (\cdot)^*, \text{ we have } \text{I}\Sigma_1 \vdash (\varphi \vdash \psi)^*\}$

# Solovay's completeness proof

## Theorem (Solovay, 1976)

$$GL \supseteq \{ \varphi \in \mathcal{L}_{\Box} \mid \text{for any } (\cdot)^*, \text{ we have } PA \vdash (\varphi)^* \}$$

- Assume  $GL \not\vdash \varphi$
- Take a (finite, transitive, conversely well-founded, rooted) Kripke model  $\mathcal{M}$  not satisfying  $\varphi$  at world 1 (the root)
- Embed  $\mathcal{M}$  (with an extra world 0 pointing to the root) into the language of arithmetic, obtaining a formula  $\lambda_i$  representing each world  $i$
- Define  $S^*$  as the disjunction of the  $\lambda_i$  such that  $i \Vdash S$
- Prove a Truth Lemma stating that (for  $i > 0$  and  $\chi$  a subformula of  $\varphi$ ) if  $i \Vdash \chi$  then  $PA \vdash \lambda_i \rightarrow \chi^*$  and if  $i \not\vdash \chi$  then  $PA \vdash \lambda_i \rightarrow \neg \chi^*$

# Solovay's completeness proof (cont'ed)

## Theorem (Solovay, 1976)

$GL \supseteq \{\varphi \in \mathcal{L}_{\Box} \mid \text{for any } (\cdot)^*, \text{ we have } PA \vdash (\varphi)^*\}$

- ...
- Prove a Truth Lemma stating that (for  $i > 0$  and  $\chi$  a subformula of  $\varphi$ ) if  $i \Vdash \chi$  then  $PA \vdash \lambda_i \rightarrow \chi^*$  and if  $i \not\Vdash \chi$  then  $PA \vdash \lambda_i \rightarrow \neg\chi^*$
- Then  $PA \vdash \lambda_1 \rightarrow \neg\varphi^*$
- Prove  $\mathbb{N} \models \lambda_0$
- Prove  $PA \vdash \lambda_0 \rightarrow \Diamond\lambda_1$ .
- Then  $PA \vdash \lambda_0 \rightarrow \Diamond(\neg\varphi^*)$
- Then  $\mathbb{N} \models \neg\Box\varphi^*$
- Then  $PA \not\vdash \varphi^*$

# How to adapt Solovay's proof to QRC<sub>1</sub>?

- Kripke completeness for QRC<sub>1</sub>
- Counter models should be finite, transitive, irreflexive and rooted
- Find an appropriate embedding of such models in arithmetic, preserving the nice properties of the  $\lambda_i$
- We think the relational properties of the models can be encoded with the same  $\lambda_i$ , while independently encoding information about the domains some other way



# Relational models

Kripke models where:

- each world  $w$  is a first-order model with a finite domain
- each constant symbol  $c$  and relational symbol  $S$  has a denotation at each world
- there is a transitive relation  $R$  between worlds
- the domains are inclusive: if  $wRv$ , then  $\text{domain}(w) \subseteq \text{domain}(v)$
- the constants have concordant interpretations: if  $wRv$ , then  $\text{denotation}_v(c) = \text{denotation}_w(c)$
- we use  $w$ -assignments  $g : \text{Variables} \rightarrow \text{domain}(w)$  to interpret variables
- we abuse notation and define  $g(c) := \text{denotation}_w(c)$  for all  $w$ -assignments  $g$  and constants  $c$

# Satisfaction

Let  $g$  be a  $w$ -assignment.

$$\mathcal{M}, w \Vdash^g S(t, u) \iff \langle g(t), g(u) \rangle \in \text{denotation}_w(S)$$

$$\mathcal{M}, w \Vdash^g \Diamond \varphi \iff$$

there is a world  $v$  such that  $wRv$  and  $\mathcal{M}, v \Vdash^g \varphi$

$$\mathcal{M}, w \Vdash^g \forall x \varphi \iff$$

for all  $w$ -assignments  $h \sim_x g$ , we have  $\mathcal{M}, w \Vdash^h \varphi$

# Relational soundness and completeness

## Theorem (Relational soundness)

*If  $\varphi \vdash \psi$ , then for any model  $\mathcal{M}$ , world  $w$ , and  $w$ -assignment  $g$ :*

$$\mathcal{M}, w \Vdash^g \varphi \implies \mathcal{M}, w \Vdash^g \psi.$$

## Theorem (Relational completeness)

*If  $\varphi \not\vdash \psi$ , then there is a finite model  $\mathcal{M}$ , a world  $w$ , and a  $w$ -assignment  $g$  such that:*

$$\mathcal{M}, w \Vdash^g \varphi \quad \text{and} \quad \mathcal{M}, w \not\Vdash^g \psi.$$

Since QRC<sub>1</sub> has the finite model property, it is decidable.

# Proving relational completeness

- Given  $\varphi \not\vdash \psi$ , build a counter-model
- The standard is to use term models: each world is the set of formulas true at that world
- We also want to know which formulas are *not* true at given worlds
- Our worlds are pairs of “positive” (true) and “negative” (false) formulas:

$$w = \langle w^+, w^- \rangle \quad \text{e.g. } \langle \{\varphi\}, \{\psi\} \rangle$$

- Worlds should be *well-formed* pairs though...

# Well-formed pairs

Let  $\Lambda$  be a set of formulas.

- $\Gamma \vdash \delta$  is shorthand for  $(\bigwedge_{\gamma \in \Gamma} \gamma) \vdash \delta$
- A pair  $p$  is *closed* if every formula in  $p$  is closed
- A pair  $p$  is *consistent* if for every  $\delta \in p^-$  we have  $p^+ \not\vdash \delta$
- A pair  $p$  is  $\Lambda$ -*maximal* if for every  $\varphi \in \Lambda$ , either  $\varphi \in p^+$  or  $\varphi \in p^-$
- A pair  $p$  is *fully witnessed* if for every formula  $\forall x \varphi \in p^-$  there is a constant  $c$  such that  $\varphi[x \leftarrow c] \in p^-$
- A pair  $p$  is  $\Lambda$ -*well-formed* if it is closed,  $\Lambda$ -maximal, consistent and fully witnessed

# Building a world from an incomplete pair

- Start with the closed consistent pair  $p = \langle p^+, p^- \rangle$
- Let  $C$  be a finite set of constants containing the constants in  $p$  and some new constants
- Let  $\Lambda$  be the closure under (closed) subformulas of  $p$ , and such that if  $\forall x \varphi \in \Lambda$ , then for every  $c \in C$  we have  $\varphi[x \leftarrow c] \in \Lambda$
- Goal: end with a  $\Lambda$ -well-formed pair  $w$  containing  $p$

## Method

- Some formulas in  $\Lambda$  are consequences of  $p^+$ , and thus must be added to  $w^+$  to preserve consistency
- We put all the other formulas of  $\Lambda$  in  $p^-$

# This Method works!

## Lemma

*If the number of new constants in  $C$  is the maximum  $\forall$ -depth of formulas in  $p$ , the Method produces a  $\Lambda$ -well-formed pair  $w$  containing  $p$ .*

- $w$  is consistent because  $\varphi \in w^+$  if and only if  $p^+ \vdash \varphi$
- $w$  is fully-witnessed because...

$$\forall x \varphi \in w^-$$

$$\Downarrow$$

there is some new  $c \in C$  s.t.  $c$  doesn't appear in  $\forall x \varphi$

$$\Downarrow$$

$$p^+ \not\vdash \varphi[x \leftarrow c]$$

$$\Downarrow$$

$$\varphi[x \leftarrow c] \in w^-$$

# Building a counter-model

- Start with  $\varphi \not\vdash \psi$  (both closed)
- Build a (well-formed!) world  $w$  s.t.  $\varphi \in w^+$  and  $\psi \in w^-$
- Let  $\text{domain}(w)$  be the set of constants  $C$  from that construction
- Let the denotation of relation symbols at  $w$  correspond to their membership in  $w^+$
- If  $\diamond\chi \in w^+$ , create a new world  $v_\chi$  seen from  $w$  by completing

$$\langle\langle\{\chi\}, \{\delta, \diamond\delta \mid \diamond\delta \in w^-\} \cup \{\diamond\chi\}\rangle\rangle$$

- Define the domain and the denotation at  $v_\chi$  like with  $w$
- Repeat until all  $\diamond$ -formulas are witnessed



# Putting it together

## Lemma (Truth lemma)

Let  $\mathcal{M}$  be the counter-model we just built. Then for any world  $w$ ,  $w$ -assignment  $g$ , and formula  $\chi^g \in \Lambda$ :

$$\mathcal{M}, w \Vdash^g \chi \iff \chi^g \in w^+,$$

where  $\chi^g$  is  $\chi$  with every free variable  $x$  replaced by  $g(x)$ .

## Theorem (Relational completeness)

If  $\varphi \not\vdash \psi$ , then there is a finite model  $\mathcal{M}$ , a world  $w$ , and a  $w$ -assignment  $g$  such that:

$$\mathcal{M}, w \Vdash^g \varphi \quad \text{and} \quad \mathcal{M}, w \not\vdash^g \psi.$$

# In summary

## QRC<sub>1</sub>:

- quantified, strictly positive provability logic
- sound w.r.t arithmetical semantics
- complete w.r.t arithmetical semantics? (work in progress)
- sound and complete w.r.t. relational semantics
- decidable

*Thank you*

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## Further Reading



A.A.B. and J.J. Joosten (2020)

Quantified Reflection Calculus with one modality

*Advances in Modal Logic* 13



R. Goldblatt (2011)

Quantifiers, propositions and identity: admissible semantics for quantified modal and substructural logics

Cambridge University Press



V.A. Vardanyan (1986)

Arithmetic complexity of predicate logics of provability and their fragments

*Doklady Akad. Nauk SSSR* 288(1), 11–14 (Russian)

*Soviet Mathematics Doklady* 33, 569–572 (English)